

08-03-01

PTO/SB/05 (2/98)

Please type a plus sign (+) inside this box

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

Only for new nonprovisional applications under 37 CFR 1.53(h)

Attorney Docket No. 13403.0004.NPUS00

First Named Inventor or Application Identifier

Wang, et al.

Title

Compositions and Methods for Generating Chimeric
Heteromultimers

Express Mail Label No.

EL615209264US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ *Fee Transmittal Form (Form PTO-1082)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 95]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claims
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) [Total Sheets 25]
4. Oath or Declaration [Total Pages]
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and
1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy
of the oath or declaration is supplied under Box 4b, is considered
as being part of the disclosure of the accompanying application
and is hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☒ Computer Readable Copy
- b. ☒ Paper Copy (identical to computer copy)
(13 pages).
- c. ☐ Statement verifying identity of above
copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503) (Two)
(should be specifically itemized)
14. ☐ *Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No:

Prior Application Information:

Examiner:

Group/Art Unit:

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

NAME	Glenn W. Rhodes HOWREY SIMON ARNOLD & WHITE, LLP				
ADDRESS	750 Bering Drive				
CITY	Houston	STATE	TX	ZIP CODE	77057-2198
COUNTRY	US	TELEPHONE	650-463-8100	FAX	713-787-1440
Name (Print/Type)	Karen K. Wong		Registration No. (Attorney/Agent)	44,409	
Signature			Date	August 1, 2001	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

WREY SIMON ARNOLD & WHITE LLP
750 Bering Drive
Houston, TX 77057-2198
(650) 463-8100

BOX PATENT APPLICATION
 COMMISSIONER FOR PATENTS
 Washington, D.C. 20231

Attorney Docket No. 13403.0004.NPUS00

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Caili Wang, Pingyu Zhong, Shengjiang Liu, Peizhi Luo, Shenfeng Li and Xinwei Wang
 For: COMPOSITIONS AND METHODS FOR GENERATING CHIMERIC HETEROMULTIMERS

Enclosed are:

- X Utility Patent Application Transmittal
- X Form PTO-1082 Transmittal
- X Specification totaling 95 pages (Claims: 17 pages; Abstract: 1 page)
- X 25 sheets of drawings
- X Sequence Listing (13 pages and 1 CD Rom)
- X Return receipt postcard

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	116 -20 =	* 96
INDEP. CLAIMS	8 -3 =	* 5
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	FEE
	\$ 345.00
x 9 =	864.00
x 40 =	200.00
+ 135 =	135.00
TOTAL	1544.00

OTHER THAN A SMALL ENTITY	
RATE	FEE
	\$0.00
x 18 =	0.00
x 80 =	0.00
+ 270 =	
TOTAL	\$0.00

X Checks totaling the amount of \$1544.00 to cover the filing fee is enclosed.

X The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 01-2508 referencing docket number 13403.0004.NPUS00. A duplicate copy of this sheet is attached.

XX Any additional filing fees required under 37 CFR 1.16.

XX Any patent application processing fees under 37 CFR 1.17.

— The U.S. Patent and Trademark Office is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 01-2508 referencing docket number 13403.0004.NPUS00. A duplicate copy of this sheet is enclosed.

— Any patent application processing fees under 37 CFR 1.17

— The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

— Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Date August 1, 2001

Karen K. Wong
 Karen K. Wong (Reg. No.: 44,409)